

APPLICATION FOR  
UNITED STATES LETTERS PATENT

FOR  
**OVERCAP HAVING IMPROVED FIT**

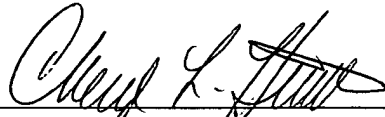
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## **BACKGROUND OF THE INVENTION**

### **Technical Field**

The invention relates generally to providing a combination of cap and plastic container that provides a snug fit while remaining easily removable. More specifically, the invention relates to providing an inexpensive, injection molded cap for an inexpensive, blow molded container that nevertheless provides a good seal.

### **Related Art**

In offering food products to the consumer, convenience and cost are two considerations that receive a lot of attention. This applies not only to the food product itself, but also to the packaging in which it is marketed. The vast majority of products are either wrapped in a plastic film or provided in a disposable container. If the product is packaged in a quantity greater than a single serving, there may be both an original seal, designed to seal in freshness and offer evidence of tampering, as well as an overcap used to re-close the package between uses.

Thin, plastic snap-on caps are often used to provide closure for disposable food containers once a sealing closure has been removed. **Figure 1** shows a perspective of a prior art container **110** and overcap **120** that can be used for food items. When the product is initially placed in the container **110**, a freshness seal **130** is placed over the opening to the container **110** and fixed there, such as by an adhesive. An overcap **120** is then placed on the container **110** over the freshness seal **130**. When the consumer is ready to consume the product, they will remove both the overcap **120** and freshness seal **130** to consume the product. The freshness seal **130** will be disposed of, but the overcap **120** is typically retained to provide a closure to protect remaining product.

Injection molding can be used to make the overcaps inexpensively. Examples of containers on which these are used include paperboard containers having a plastic or metal rim (used, for example, with oatmeal or roasted nuts) and plastic tubs (for soft cheeses and butter). Typically, the overcap **120** has a rounded ridge **122** on the inside, which snaps over a similar ridge **112** on the container **110**. In some cases, the fit of the cap to the container is not a prime

concern, as the product does not quickly stale, such as with butter. When maintaining freshness is important, such as with products that stale quickly, a tight seal of overcap to container is desirable. In these applications, the container is typically made of a heavier material, such as paperboard, and often the rim of the container is made of a material, such as a metal, for which the manufacturing tolerances are small. The downside of this approach is the cost, as these techniques are more expensive than molded plastic.

Blow molding is a commonly used technique for forming thin-walled plastic containers. In one version of this molding technique, a thick-walled tube of plastic (shaped similarly to a test tube) is first heated and placed inside a mold. The tube is then inflated by injecting air into it, so that the tube expands to fit the inside of the mold. The mold is chilled to cool the plastic quickly. Blow molding techniques have made inexpensive containers possible, although it is not possible to meet tight tolerances with just blow molding. When a blow-molded bottle needs a tight lid, e.g., for soft drinks, the neck of the bottle is formed by another technique, allowing a tighter fit to the lid.

Because blow molding a container and injection molding a snap-on cap are inexpensive methods of producing a lidded container, it would be desirable to manufacture a lidded container by these processes. However, it is difficult to produce an injection molded snap-on cap to fit the variations that can be produced by blow molding a container. **Figure 2a** shows a prior art combination as it is designed to fit. **Figure 2b** demonstrates the problem of a loose fit when injection molded cap **220** is at the large end of its tolerance and the blow molded container **210** is at the small end of its tolerance. In this case, the cap can be easily pushed off, even by excess pressure within the container. **Figure 2c** demonstrates the problem at the other extreme of the fit spectrum, where the injection molded cap **220** is at the small end of its tolerance and the blow-molded container **210** is at the large end of its tolerance. In this instance, the cap can fit so snugly that it is difficult to remove. Additionally, there is commonly only a single point of contact between the container and cap when viewed in cross-section. This does not provide the seal that is necessary when the product degrades under prolonged exposure to the air.

Of course, many different shapes of lid and containers are possible. For instance, **Figures 3a** and **3b** demonstrate a number of prior art lids and their ideal fit to a corresponding container.

**Figure 3a** is taken from U.S. Patent 6,047,851 to Freck et al. Freck's container has a rounded edge to act in place of a rounded bead and the patent is directed to modifying that edge from a prior art shape to better allow the cap to be removed without cracking. The cap of Freck is apparently intended to fit snugly against the container across most of the rim of the container.

5 **Figure 3b** is taken from U.S. Patent 3,892,351 to Johnson et al. The tubular container is a glue-bonded, paperboard composite, spirally wound tube, with its top rim rolled outwardly to form a circumferentially extending bead. The overcap has a radially inwardly and downwardly extending shoulder that engages with the rolled rim of the container.

In order to provide an inexpensive method of packaging snack foods, it would be  
10 desirable to design a better snap-on overcap that can be used with a blow-molded container in order to provide packaging for a snack product. Since packaging for such a product is considered a disposable, it is desirable to keep the costs of such a combination container/overcap low. At the same time, although it is not necessary for the overcap to protect the product during shipping, it should be sufficiently well fitting that the product remaining after an initial opening of the  
15 container can be protected from absorbing too much moisture, which can cause degradation of the product.

### **SUMMARY OF THE INVENTION**

The invention discloses a combination of a snap-on overcap and a blow-molded plastic container that are designed to act together to provide a reclosable seal after removal on the original freshness seal. This reclosable seal is designed to prevent a loss of freshness to the porous product stored within, regardless of variations in the manufacturing process. Instead of a rounded ridge on the container, the ridge has a flattened section on its lower half. On the inside of the snap-on cap, the ridge has two flat surfaces. The upper flat surface is designed to fit snugly against the flat surface on the ridge of the container, even at the extreme range of small container/large cap. Interferences between the container and cap at points other than the intended flat surfaces can cause the closure to become point-to-point, rather than the desired surface-to-surface, so other portions of the inside of the cap are designed to not touch the container, preventing interferences. The design has been shown to dramatically reduce the absorption of moisture by an enclosed product, demonstrating that a desirable seal is formed.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will be best understood by reference to the following detailed description of illustrative embodiments when read in conjunction with the accompanying drawings, wherein:

**Figure 1** shows a perspective of a prior art container, freshness liner, and overcap.

**Figure 2a** shows an overcap having an ideal fit to the container.

**Figures 2b** and **2c** show an overcap having respectively a very loose and a very tight fit to the container.

**Figures 3a** and **3b** show prior art containers with lids or overcaps.

**Figures 4a, 4b, and 4c** show an embodiment of the innovative container and overcap.

**Figure 5** show measurements of the container and overcap that are important to the fit.

**Figure 6** shows a graph of moisture absorption by a porous product that is packaged in a prior art container/overcap combination and an embodiment of the inventive container/overcap.

## **DETAILED DESCRIPTION**

An embodiment of the innovative invention will now be described with reference to **Figures 4A-C**. **Figure 4A** shows a slice taken through a container **410** and overcap **430** after removal of the freshness liner, according to an exemplary embodiment of the invention. **Figures**  
5 **4B** and **4C** demonstrate the different parts of the cap **430** and container **410** respectively.

Container **410** was designed to hold a formed, stacked potato chip product and is preferably formed by blow molding of a high-density, low friction, polyethylene. The container has a wide-mouth opening, surrounded by a rim **414** onto which the cap **430** can be snapped. The body **412** of the container **410** can vary in cross-section and may, for example, have an oval shape,  
10 although the area near to and including the rim **414** is preferably circular. The topmost portion of rim **414** extends inward toward the opening to form a flat surface **416**. A rounded corner **418** on the rim **414** allows the cap **430** to slip on to the container **410** easily, while a downwardly facing, flattened surface **420** provides a first sealing surface. When the container is originally filled, a thin, flexible seal (not shown) is applied to the flat surface **416** surrounding the opening,  
15 as is well known in the art. Overcap **430** is then placed over the container **410** and flexible seal, but does not initially provide any sealing. The overcap **430** is intended for use after the consumer has unsealed the container, but has not yet finished the contents. At that time, the cap **430** can be replaced on the container **410** as shown in **Figure 4A**.

Overcap **430** is injection molded, using a low-density polyethylene. The cap has a  
20 generally flat upper surface **432**, with a ridge **434** running near the outer edge to provide additional strength. A flange **436** extends generally perpendicularly to the upper surface **432**, but preferably “toes inwardly” about 3 degrees. On the inside of the flange **436**, a raised ridge **438**

has upper- and lower-facing flat surfaces **440**, **442**. Surface **440** of cap **430** and surface **420** of container **410** are designed to mate with each other, forming a sealing surface, rather than a point-to-point seal as in the past. The cap must be sized so that the surface **440** of the cap will extend against the surface **420** of the container, even at the extreme range of small

5 container/large cap. Additionally, interferences at other points between the container and cap can cause the closure to become point-to-point, rather than the desired surface-to-surface. The design must be adjusted so that surfaces **442** and **444** on the inside of flange **436** never cause interference with the container, even at the extreme range of large container/small cap. Note also that surface **446** is not a continuation of sealing surface **440**, but angles away from the container  
10 to prevent interference here. The calculations necessary to ensure a proper fit are explained below.

The calculations necessarily start with the nominal, or designed, greatest diameter of the container rim, along with the manufacturing tolerance for the container  $T_{CNTR}$  and the manufacturing tolerance for the cap  $T_{CAP}$ . These numbers will be used to determine two design  
15 measurements of the overcap. The measurements are shown graphically in **Figure 4**.  $OD_{RIM}$  is the outside diameter of the rim of the container at its greatest diameter.  $ID_{PEAK}$  is the inside diameter of the overcap at the peak of the ridge, while  $ID_{FLANGE}$  is the inside diameter of the overcap at a point just above the ridge. Because of the tolerances, we will identify these measurements as, for example,  $OD_{RIM.NOM}$  for the nominal measurement of  $OD_{RIM}$ ,  $OD_{RIM+}$  for  
20 the largest value of  $OD_{RIM}$ , and  $OD_{RIM-}$  for the smallest value of  $OD_{RIM}$ . In this example, we are starting with a nominal value,  $OD_{RIM.NOM} = 3.128$  inches (79.44 mm). The blow-molded container has a tolerance  $T_{CNTR} = \pm 0.015$  inches ( $\pm 0.381$  mm), while the lid can be made to



tighter tolerance  $T_{CAP} = \pm 0.007$  inches ( $\pm 0.178$  mm). For the container, this means that  $OD_{RIM-} = 3.128 - 0.015$  inches, or 3.113 inches ( $79.44 - 0.381$  mm = 79.059 mm), while  $OD_{RIM+} = 3.128 + 0.015$  inches = 3.143 inches ( $79.44 + 0.381$  mm = 79.821 mm).

The inventors determined experimentally that for the tightness they wished to achieve with the overcap,  $OD_{RIM}$  and  $ID_{PEAK}$  should have an overlap  $OVR = 0.015$  inches (0.381 mm) on each side, so that in cross-section there is a total of 0.030 inches (0.762 mm) difference in these two measurements. This figure should be achievable with the smallest container and the largest overcap, the combination most likely to have too loose a lid. As we determined above, the smallest container that meets the tolerance will have a value of  $OD_{RIM-} = 3.113$  in. (79.059 mm). Therefore,  $ID_{PEAK+}$ , the value on the largest container, should equal  $OD_{RIM-} - (2 \cdot OVR)$ , or  $3.113 - 0.030 = 3.083$  inches ( $79.059 - 0.762 = 78.297$ ). Since this is the largest value,  $ID_{PEAK+}$ ,  $ID_{PEAK.NOM} = ID_{PEAK+} - T_{CAP} = 3.083 - 0.007 = 3.076$  inches ( $78.297 - 0.178 = 78.119$  mm). Thus, the formula  $ID_{PEAK.NOM} = ((OD_{RIM.NOM} + T_{CNTR}) - (2 \cdot OVR)) - T_{CAP}$  will assure at least an overlap of  $OVR$  in the worst-case scenario. Of course, one of ordinary skill in the art will recognize that the amount of desired overlap can be increased or decreased, depending on the desired fit.

To avoid interference in a large container with small overcap combination, it is necessary that  $ID_{FLANGE-}$  is never smaller than  $OD_{RIM+}$ .  $OD_{RIM+}$  is 3.143 inches (79.832 mm). This means that  $ID_{FLANGE-}$  should be at least 3.143 inches (79.832 mm). Given the tolerance of 0.007 inches (0.178 mm) inches for the overcap, the value for  $ID_{FLANGE.NOM} = ID_{FLANGE-} + T_{CAP} = 3.143 +$

0.007 inches = 3.150 inches (79.832 + 0.178 = 80.010 mm). The final formula for calculating clearance is  $ID_{FLANGE.NOM} \geq OD_{RIM.NOM} - T_{CNTR} + T_{CAP}$ .

We now have nominal values for the three measurements shown. **Table 1** below shows the range of sizes that these dimensions can take, given the tolerances.

Dimensions of Container, Overcap				
	Nominal size	Range of tolerance	Smallest diameter	Largest diameter
<b>OD<sub>RIM</sub></b>	3.128 in. (79.451 mm)	+/- 0.015 in. (+/- 0.381 mm)	3.113 in. (79.070 mm)	3.143 in. (79.832 mm)
<b>ID<sub>FLANGE</sub></b>	3.150 in. (80.010 mm)	+/- 0.007 in. (+/- 0.178 mm)	3.143 in. (79.832 mm)	3.157 in. (80.188 mm)
<b>ID<sub>PEAK</sub></b>	3.076 in. (78.130 mm)	+/- 0.007 in. (+/- 0.178 mm)	3.069 in. (77.953 mm)	3.083 in. (78.308 mm)

**Table 1**

The space between the container and the overcap,  $OD_{RIM} - ID_{FLANGE}$ , are shown for various points with the allowed tolerance in **Table 2** below. As this table shows, the space between the container and overcap will go to zero only in the single scenario of the largest container and smallest cap. Of course, this is a minimum value of  $ID_{FLANGE}$ ; any increase in  $ID_{FLANGE}$  will increase the clearance so that there is always space. After determining this value, the inventors then worked with cutouts of the container and overcap to see the areas where interference was most likely. After their tests, they relieved the portion of surface **440** that is closest to the base of the overcap, forming surface **446**.

Clearance between Container Rim and Overcap ( $OD_{RIM} - ID_{FLANGE}$ )			
	Nominal Bottle	Small Bottle	Large Bottle
Nominal Cap	0.022 in. (0.559 mm)	0.037 in. (0.940 mm)	0.007 in. (0.178 mm)
Small Cap	0.015 in. (0.381 mm)	0.030 in. (0.762 mm)	0.000 in. (0.000 mm)
Large Cap	0.029 in. (0.737 mm)	0.044 in. (1.118 mm)	0.014 in. (0.356 mm)

**Table 2**

Similarly, the amount of overlap ( $OD_{RIM} - ID_{PEAK}$ ) in the various sizes of containers and overcaps is shown in **Table 3**, where it is clear that there is always sufficient overlap to maintain the desired seal.

Overlap of Overcap and Rim of Container ( $OD_{RIM} - ID_{PEAK}$ )			
	Nominal Bottle	Small Bottle	Large Bottle
Nominal Cap	0.052 in. (1.321 mm)	0.037 in. (0.940 mm)	0.067 in. (1.702 mm)
Small Cap	0.059 in. (1.499 mm)	0.044 in. (1.118 mm)	0.074 in. (1.880 mm)
Large Cap	0.045 in. (1.143 mm)	0.030 in. (0.762 mm)	0.060 in. (1.524 mm)

**Table 3**

It is desirable to have a slight “toe-in” of the flange with the base of the overcap, rather than a ninety-degree angle. Preferably, the angle made by the flange and the base on the inside of the overcap is about 87° or about three degrees of toe-in. The toe-in can be achieved by one of two methods, depending on the manufacturer’s preference. It is known that plastics will shrink as they cool, and the hotter they are when taken out of the mold, the more they will shrink. In one embodiment, the toe-in can be achieved by molding the overcap with a 90° angle between the base and flange, then remove the overcap from the mold at a point that will cause enough shrinkage to create the 3° toe-in. Alternatively, the overcap can be cast so that it is made with a 3° toe-in, then allowed to remain in the mold until cool enough that the angle will not change.

## **Test Results**

**Figure 6** discloses the results of a test that monitored the absorption of moisture between a porous snack product packaged in the disclosed container and overcap and a similar product packed in a competitor's package, which is made of a metalized cardboard that has been given a rolled rim. The packaged products were tested over a twenty-five day period. The innovative container/overcap fit was able to maintain freshness much better than the competitor's fit of overcap to rolled cardboard. As this chart shows, the innovative container/overcap combination showed less than 1/10<sup>th</sup> of one percent of moisture absorption over 25 days, while the prior container/overcap showed moisture absorption of about 1.9 percent over the same 25 days. This can make a huge difference in the consumer satisfaction in the keeping power of the product.

In summary, the disclosed combination of container and overcap, even though made by different processes with a relatively large variability in the container can still provide a well-fitting lid at low costs. The seal has been designed to be surface-to-surface, rather than point-to-point and the overcap has been designed to maintain this relationship.